

FORM PTO-1390 (Modified) (REV 11-98)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				522-1730	
				U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.5) 09/720744	
INTERNATIONAL APPLICATION NO. PCT/EP99/04085		INTERNATIONAL FILING DATE June 28, 1999		PRIORITY DATE CLAIMED June 29, 1998	
TITLE OF INVENTION VACUUM TIGHT COUPLING FOR TUBE SECTIONS					
APPLICANT(S) FOR DO/EO/US Wilmert De Bosscher, Dirk Cnockaert, Erwin Lootens and Pascal Verheyen					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information					
<ol style="list-style-type: none"> 1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c) (2)) <ol style="list-style-type: none"> a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> has been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)) 7. <input type="checkbox"/> A copy of the International Search Report (PCT/ISA/210) 8. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) <ol style="list-style-type: none"> a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 9. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)) 10. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). 11. <input type="checkbox"/> A copy of the International Preliminary Examination Report (PCT/IPEA/409) 12. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). <p>Items 13 to 20 below concern document(s) or information included:</p> <ol style="list-style-type: none"> 13. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98 14. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 15. <input checked="" type="checkbox"/> A FIRST preliminary amendment. 16. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment 17. <input type="checkbox"/> A substitute specification. 18. <input type="checkbox"/> A change of power of attorney and/or address letter. 19. <input checked="" type="checkbox"/> Certificate of Mailing by Express Mail 20. <input type="checkbox"/> Other items or information. 					

U.S. APPLICATION NO. 09/720744 (37 CFR 1.5)	INTERNATIONAL APPLICATION NO. PCT/EP99/04085	ATTORNEY'S DOCKET NUMBER 522-1730
--	---	---

21. The following fees are submitted				CALCULATIONS PTO USE ONLY	
BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) : <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1,000.00 <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860.00 <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00 <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 ENTER APPROPRIATE BASIC FEE AMOUNT =					
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e))				\$0.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	20 - 20 =	0	x \$18.00	\$0.00	
Independent claims	2 - 3 =	0	x \$80.00	\$0.00	
Multiple Dependent Claims (check if applicable) .			<input type="checkbox"/>	\$0.00	
TOTAL OF ABOVE CALCULATIONS =				\$860.00	
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28) (check if applicable) .				<input type="checkbox"/>	\$0.00
SUBTOTAL =				\$860.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f))				\$0.00	
TOTAL NATIONAL FEE =				\$860.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable).				<input type="checkbox"/>	\$0.00
TOTAL FEES ENCLOSED =				\$860.00	
				Amount to be: refunded	\$
				charged	\$

- ☒ A check in the amount of **\$860.00** to cover the above fees is enclosed
- ☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. **12-0913** A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Glenn W. Ohlson
Lee, Mann, Smith et al.
PO Box 2786
Chicago IL 60690-2786

SIGNATURE

Glenn W. Ohlson

NAME

28,455

REGISTRATION NUMBER

December 27, 2000

DATE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE THE APPLICATION OF

De Bosscher et al.

SERIAL NO.: To Be Assigned

FILED: Herewith

FOR: VACUUM TIGHT COUPLING FOR TUBE
SECTIONS

) Examiner:
)
) Group Art Unit:
)
)
)
)
)
) Docket No.: 522-1730

AMENDMENT ACCOMPANYING APPLICATION

Honorable Commissioner for Patents
United States Patent and Trademark Office
Washington DC 20231

Dear Sir:

The present application is the national filing of International Application No. PCT/EP99/04085. Before calculation of the filing fee for the United States, it is requested that the application be amended as follows:

In the claims:

Cancel claims 1-12 without prejudice, and substitute claims 13-32 as follows:

13. A vacuum tight coupling for end portions of two tubular sections, the size of the inner space of a first end portion being smaller than that of a second end portion, the second end portion having a flange extremity axially slidable over the first end portion to abut the flange extremity against a peripheral outer abutment ring on said first end portion, the coupling comprising at least one sealing ring between said end portions in their

overlapping contact area and further comprising a clamping ring with a substantially cylindrical outer surface and being composed of two substantially equal halves, each clamp half having a semi-circular or U-shaped cross section with an inwardly oriented recess, said recess enclosing said flange extremity and said abutment ring and being adapted to positively, solidly and axially clamp the abutment ring against the flange extremity, the two ring halves being fixed to each other at their extremities by means of fixing means comprising in at least one place bolting means, the axis of which is perpendicular to the longitudinal axis of the coupled tubular sections and substantially tangential to the clamping ring periphery.

14. A coupling according to claim 13 wherein said flange extremity is a separate ring.
15. A coupling according to claim 13, wherein the ring halves, besides said bolting means for fixing their extremities in one place comprise pivoting means for fixing them in their opposite extremities.
16. A coupling according to claim 13, herein the first end portion comprises a tubular insert coupled between a tubular section and said second end portion, and wherein the insert end facing the tubular section is a ring which can slide axially over said tubular section whereas the opposite insert end is a ring over which said second end portion can slide.

17. A coupling according to claim 13, wherein the length of the overlap portion between the first and second tube portions is 50% or less, preferably 30% or less, more preferably 20% or less of the inner diameter of the first portion.
18. A coupling according to claim 13, wherein the length of the overlap portion between the first and second end portions is 5% or more of the inner diameter of the first portion.
19. A coupling according to claim 13, wherein the coupling is a high vacuum or ultra-high vacuum coupling.
20. A coupling for a cylindrical sputtering target for end portions of two tubular sections, the size of the inner space of a first end portion being smaller than that of a second end portion, the second end portion having a flange extremity axially slidable over the first end portion to abut the flange extremity against a peripheral outer abutment ring on said first end portion, the coupling comprising at least one sealing ring between said end portions in their overlapping contact area and further comprising a clamping ring with a substantially cylindrical outer surface and being composed of two substantially equal halves, each clamp half having a semi-circular or U-shaped cross section with an inwardly oriented recess, said recess enclosing said flange extremity and said abutment ring and being adapted to positively, solidly and axially clamp the abutment ring against the flange extremity, the two ring halves being fixed to each other at their extremities by means of fixing means comprising in at least one place bolting means,

the axis of which is perpendicular to the longitudinal axis of the coupled tubular sections and substantially tangential to the clamping ring periphery.

21. A coupling for a cylindrical sputtering target according to claim 20 wherein said flange extremity is a separate ring.
22. A coupling for a cylindrical sputtering target according to claim 20, wherein the ring halves, besides said bolting means for fixing their extremities in one place comprise pivoting means for fixing them in their opposite extremities.
23. A coupling for a cylindrical sputtering target according to claim 20, wherein the first end position comprises a tubular insert coupled between a tubular section and said second end portion, and wherein the insert end facing the tubular section is a ring which can slide axially over said tubular section whereas the opposite insert end is a ring over which said second end portion can slide.
24. A coupling for a cylindrical sputtering target according to claim 20, wherein the length of the overlap portion between the first and second tube portions is 50% or less, preferably 30% or less, more preferably 20% or less of the inner diameter of the first portion.

25. A coupling according to claim 20, wherein the length of the overlap portion between the first and second end portions is 5% or more of the inner diameter of the first portion.
26. A coupling for a cylindrical sputtering target according to claim 20, wherein the coupling is a high vacuum or ultra-high vacuum coupling.
27. The coupling for a cylindrical sputtering target according to claim 20, wherein the fixing means of the clamping ring is located on the side of the coupling remote from the sputtering target.
28. The coupling for a cylindrical sputtering target according to claim 20, further comprising an anti-arcing element.
29. The coupling for a cylindrical sputtering target according to claim 28, the anti-arcing element being attached to a surface of the clamping ring on the same side as the sputtering target for preventing arcing.
30. The coupling for a cylindrical sputtering target according to claim 28, wherein the anti-arcing element is conductive or insulating.
31. The coupling for a cylindrical sputtering target according to claim 28, wherein at least one groove is provided between the anti-arcing element and the clamping ring.

32. The coupling for a cylindrical sputtering target according to claim 28, wherein the arcing element touches a surface of the sputtering target.

REMARKS

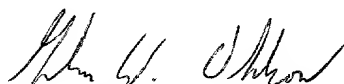
New claims 13-32 correspond to the claims 1-12 in the International application, except that multiple dependency has been eliminated. If any multiple dependency remains, that is unintended, and the Patent and Trademark Office is requested to cancel any remaining multiple dependent claims without prejudice before calculation of the national filing fee for the United States.

The Declaration for the application will be filed shortly.

Examination of the application on its merits is awaited.

Respectfully submitted,

Date: December 27, 2000



Glenn W. Ohlson
Registration No. 28,455

Lee, Mann, Smith, McWilliams, Sweeney
& Ohlson
P.O. Box 2786
Chicago, Illinois 60690-2786
(312) 368-1300 Telephone
(312) 368-0034 Facsimile

528 Rec'd PCT/PTO

98/320744
27 DEC 2000

WO 00/00766

PCT/EP99/04085

1

VACUUM TIGHT COUPLING FOR TUBE SECTIONS

The invention relates to a vacuum tight coupling for the end portions of two tubular sections. In particular it relates to the coupling of heavy tube sections which have to rotate around their longitudinal tube axis such as, for example, rotatable targets in vacuum sputtering reactors, in particular magnetrons.

Background of the invention

Vacuum or at least fluid tight couplings for tube ends are known from the patent publications DE 3328137; US 4,900,063; US 5,591,314; WO 85/04940 and EP 0726 417. Most of these coupling devices include clamping rings that, due to the nature of their fixing means, do not have a substantially cylindrical outer surface. This prevents tube rotation within a small opening surrounding -i.e. radially facing - the clamping rings. In addition, when joined, the transverse tube extremities essentially abut with their end faces against each other with sealing means positioned in between them in this transverse abutment area. When one tube end has to carry the other heavy tube, e.g. in cantilever mode and optionally has to set it in rotation, e.g. at a considerable speed, then the structure of these known abutment-type couplings is subjected to virtually insupportable stresses and loads.

US 5,480,193 describes a push-on fitting including a split clamp. An inner tube end is provided with two "O" ring seals and an outer tube end is pushed over the seals. Each half of the axial clamp includes a semi-annular surface positioned to encircle that portion of the push-on fitting lying over the seals. Elastic inserts are placed in the clamp which clamp down onto the outer tube. Due to the use of elastic components there is some possibility of relative movement between the inner and outer tube.

US 5,647,612 describes a push-on tube fitting which is clamped by a hinged clamp. In the closed position the two halves of the clamp co-operate to form a recess corresponding to that of the fitted part of the couplings, thereby axially restraining the couplings but not clamping them together. The clamp is held closed by a releasable locking mechanism.

CONFIRMATION COPY

WO 00/00766

PCT/EP99/04085

2

Object and summary of the invention

It is an object of the invention to avoid the disadvantages of known couplings and to provide a reliable vacuum tight coupling for relatively heavy tube sections. It is also an object to design such a coupling which permits rotation at relatively high speeds when needed. It is a further object to produce a coupling which can easily be assembled and disassembled and which is readily usable e.g. as a spindle/target-coupling for a rotatable sputtering target. The coupling is designed for multiple disassembly and reassembly. After fixing the coupling, the spindle may be attached to its supporting unit, e.g. an end block which is provided with the connections for driving and cooling the inner space of the target tube.

In the vacuum tight coupling for the end portions of two tubular sections according to the present invention the inner diameter of the first end portion is chosen to be smaller than that of the second end portion. This second end portion carries a radially outwardly extending flange extremity and this portion can be slid axially over the first end portion to abut against a peripheral outer abutment ring on said first end portion. At least one sealing ring is provided between said end portions in their overlapping cylindrical contact area. The coupling comprises further a clamping ring with a substantially cylindrical outer surface. This ring is composed of two substantially equal halves with each a U-shaped cross section with an inwardly oriented recess, said recess enclosing said flange portion of the second end portion and said abutment ring of the first end portion. Tightening of the clamp results in longitudinal (axial) positive clamping of the abutment ring to the flange. The clamp operates directly on the flange and ring. Preferably, the load bearing surfaces of the clamp, flange extremity and abutment ring are made of metal, e.g. steel. The fixing means for the ring halves comprise in at least one place bolting means, the axis of which is perpendicular to the longitudinal axis of the coupled tubular sections and substantially tangential to the clamping ring periphery.

To provide for a robust coupling, said overlapping cylindrical contact

WO 00/00766

PCT/EP99/04085

3

area, where one tube end enters the other, should exceed a minimum surface in relation to the inner diameter "d" of the first end portion. For example, the minimum overlap may be 5% of the inner diameter of the first end portion. In this manner the entering tube end will offer a proper mechanical support for the surrounding tube end during any conditions of operation. To allow ease of coupling in confined spaces the amount of overlap should preferably be limited in length. For example, it is preferred if the length of overlap between the first and second end portions is 50% or less of the inner diameter "d" of first end portion. more preferably 30% or less and most preferably 20% or less. The overlap may be 10%. This amount of overlap is sufficient to provide both enough space for sealing rings and also mechanical stability.

To prevent arcing is it preferable to attach an anti-arcing element to the surface of the clamping ring. The anti-arcing element may be a ring. The anti-arcing element may be made of an insulating or a conductive material.

The invention will now be described with reference to the attached drawings. Further details and advantages will be clarified, in particular in relation to certain preferred embodiments for couplings for spindles to rotatable targets.

Brief description of the drawings

Fig. 1 is a longitudinal cross sectional view of a coupling according to an embodiment of the present invention.

Fig. 2 is a transverse cross section of Fig. 1 showing clamping ring halves.

Fig. 3 is a longitudinal cross sectional view of an alternative embodiment wherein, i.e. the flange extremity on the second end portion is a separate ring.

Fig. 4 shows a transverse cross section of the clamp of Fig. 3.

Fig. 5 shows in longitudinal cross section an alternative embodiment of the fixing arrangement for the two halves of the clamping ring.

Fig. 6 shows a transverse cross-section of the clamp of Fig. 5.

Fig. 7 relates to the insertion of a tubular section between first and

WO 00/00766

PCT/EP99/04085

4

second end portions of the two couplings.

Fig. 8 is an exploded schematic view of a coupling according to a further embodiment of the present invention.

Fig. 9 is a longitudinal cross-sectional detail of the coupling of Fig. 8.

5

Detailed description of certain embodiments

The present invention will be described with reference to certain embodiments and to certain drawings but the present invention is not limited thereto but only by the claims. The coupling in accordance with the present invention is particularly suitable as a vacuum coupling. The couplings in accordance with the present invention are not only suitable for levels of vacuum in the range 0.5 to 0.01 bar but are also suitable for high vacuum levels such as 10^{-3} or lower, in particular 10^{-5} or lower, for example 10^{-6} to 10^{-9} bar. Couplings in accordance with the present invention may be ultra-high vacuum couplings. Ultra-high vacuum in accordance with this invention is 10^{-10} bar or lower, e.g. 10^{-11} down to 10^{-15} bar.

An embodiment of a vacuum tight coupling in accordance with the present invention is shown schematically in Fig. 1. Generally, the structural materials of the coupling may be made of a metal, e.g. steel, or any other suitable high strength material. The coupling has end portions 1, 2 of two tubular sections. The first end portion 1 may have a smaller inner diameter than that of end portion 2. When applying the invention to a spindle/target-coupling, the first end portion 1 is part of, or fits into or onto the spindle and the second end portion 2 is part of the target tube or fits into or onto the target tube. The target tube may have an inner support tube 7 onto which the cylindrical layer 8 of target material is fixed. The size of the inner space of the first end portion 1 is smaller than that of the second end portion 2. The second end portion 2 carries a flange extremity 11 which can be slid axially over the first end portion 1 to come to rest abutted against a peripheral outer abutment ring 10 on said first end portion. The contact between the abutment ring 10 and the flange 11 will be called the abutment area.

The coupling includes at least one sealing ring 4, 5 between said end

WO 00/00766

PCT/EP99/04085

5

portions in their overlapping contact area. Sealing ring 4, 5 may be an O-ring seal. An O-ring 5 is preferably arranged in a circumferential groove on the outside of the end portion of the spindle. An O-ring 4 is preferably located near the abutment area with the end portion of the target tube. Although one

5 O-ring could in principle assure a vacuum tight sealing, two O-rings warrant a maximal vacuum integrity under the most extreme conditions of operation. Both O-rings 4 and 5 are mounted on the spindle during assembly. This arrangement provides an automatic and uniform pressure on the seal which minimises the risk of damaging them or the sealing surfaces during assembly,

10 revision, cleaning and target exchange. The couplings in accordance with the present invention are designed for repetitive assembly and disassembly while still maintaining their mechanical properties, e.g. suitable for vacuum or ultra-high vacuum conditions. Rubber O-ring seals (e.g. Viton™ rubber O-rings) are suitable for high vacuum use, i.e. down to about 10^{-9} bar. Due to

15 outgassing from the rubber such rings are not preferred for ultra-high vacuum use. Toroidal flexible metal seals supplied under the trade name Helicoflex™ (supplier Le Carbone-Lorraine, France) may be used instead of rubber O-rings for ultra-high vacuums, e.g. 10^{-11} to 10^{-15} bar.

The coupling comprises further a clamping ring 3 with a substantially

20 cylindrical outer surface. Substantially cylindrical means that the envelope of the outer circumference of the ring with its fixing means 9 does not show parts which extend radially outside said circumference to a significant extent. As a result, cylindrical shields may be placed quite closely over the clamp without touching it, even during relative rotation between the clamp and the

25 cylindrical shield. Clamping ring 3 is preferably made from a high strength material such as a metal, e.g. steel. The clamping ring 3 is composed of two substantially equal halves 12, 13, each having a semi-circular or U-shaped cross section with an inwardly oriented recess 6. Upon closing the ring 3, said recess 6 encloses the flange 11 and said abutment ring 10. Tightening of the

30 clamp halves 12, 13 forces thereby the transversal end faces of the abutment ring 10 and the flange 11 tightly against each other by means of the conically machined edges (25 in Figs. 3, 9). The clamp 3 provides not only longitudinal

WO 00/00766

PCT/EP99/04085

6

or axial restraint of the two end portions 1, 2 but also actively and positively clamps ring 10 to flange 11. Clamp 3 preferably has at least one bevelled inner edge 25 which co-operates during clamping with a chamfered edge 28 on one of the ring 10 or flange 11 (as shown in Fig. 3 the chamfered edge 28 is on the ring 10). The angle of the chamfer/bevel should be such as to provide a strong axial pressure on the respective ring 10 or flange 11. On the other one of the ring 10 or flange 11 there may be no chamfered/bevelled edges (as shown in Fig. 3) or these edges may also be provided with co-operating chamfers 29, 30 (Fig. 9). By clamping the flange 11 to the abutment ring 10 in a solid manner, relative movement between ring 10 and flange 11 is prevented, independent of whether this movement is axial with respect to end portions 1, 2 or rotational about a rotation axis parallel to the axis of end portions 1, 2 or rotational about an axis perpendicular to the axis of end portions 1, 2. This means that during rotation of the coupling, any circumferential out of balance forces do not result in repetitive small rotational or linear movements which could damage the seals 4, 5 or produce periodic movements which could cause periodic variations in the processing, e.g. when sputtering. The two ring halves 12, 13 are fixed to each other at their extremities 15, 16 by a fixing means, e.g. bolts 9.

The fixing means comprises in at least one place bolting means 9, the longitudinal axis 14 of which is perpendicular to the longitudinal axis of the coupled tubular sections and substantially tangential to the clamping ring periphery. This securing of the clamp halves 12, 13 together is shown here with only two bolts 9 which are screwed in threaded holes in the clamp end face 16. They can be reached and seen very easily at any rotational position of the clamp 3. This guarantees a fast and user friendly interface for mounting and removing a cylindrical tube, in particular a rotatable target. In this embodiment, as shown in Fig. 2, both bolts are fastened from the same side. This coupling system does not have to be turned through 180° about its longitudinal axis to couple and clamp the tube ends. When used for target-to-spindle couplings, the screw holes for the bolts are provided at the spindle side (towards end portion 1) to prevent or reduce the sputtering of material

WO 00/00766

PCT/EP99/04085

7

onto the bolts. Clamping ring 3 preferably have a solid section into which the holes for the bolts 9 are provided. The clamping ring 3 also have a recess for receiving the outer circumferential edges of the flange 11 and ring 10. This recess is preferably placed axially asymmetrically with respect to the bolts 9, i.e. to one side of the recess resulting in a smaller outer diameter for the clamp 3.

In a further embodiment shown schematically in Figs. 3 and 4 the bolts 9 are oriented in opposite directions to each other. In this manner each ring halve 12, 13 is identical and thus replaceable by the opposite one 13, 12. Fig. 3 shows the use of a separate flange ring 11 at the end of the overlapping tube section 2. This feature offers the advantage that the supporting tube 7 for the target does not need to be produced each time with a flange at its end. Separate flange rings 11 can be interposed that properly fit with the design ad hoc of tube end 2 and the co-operating clamping ring 3. The flange ring 11 is fixed to the tube end 2, e.g. by welding.

In Figs. 5 and 6 an alternative design of the clamping ring 3 is shown. The two ring halves 12 and 13 are pivotably linked to each other in one contact area of their extremities 21 and 22 by means of pivot pins 17 suitably mounted in a pivoting block 26. The two halves can be pivoted in an open position 19. The other extremities 15 and 16 are then suitably fixed to each other by a bolt 9 in an internally screw threaded bore 18.

In certain vacuum chambers of sputtering reactors it is useful to provide for different sputtering widths. This corresponds to different lengths of the rotatable targets to be used. The active width of the sputtering area may thus be substantially shorter than the distance between the two opposite spindles which carry the target tubes. In this manner it is advantageous to provide at least one tubular insert section 20, as shown in Fig. 7, between spindle 1 and target tube 2. The transverse end 23 of the insert tube 20, that faces the first end portion 1 (spindle) is then again a ring which can slide axially over said first end portion. Likewise the opposite end 24 of the insert tube 20 is a ring over which said second end portion 2 can slide. This end is again provided with a suitable circumferential groove 27 for a sealing ring.

WO 00/00766

PCT/EP99/04085

8

A further clamping device 3 in accordance with an embodiment of the present invention is shown schematically in Figs. 8 and 9 and can be provided with additional rings 31 and/or 32 which may be used to prevent arcing in a sputtering magnetron. One of the tube ends (2) is part of a rotating cylindrical target and can be advantageously used in a reactive sputtering process. Use of the clamping device 3 in accordance with this embodiment prevents arcing when used in a vacuum deposition process. The numbering of the various parts in Figs. 8 and 9 corresponds with those of the previous embodiments, except in previous embodiments the material to be sputtered 8 was applied onto a backing tube 7. In this embodiment the material to be deposited may be in the form of a massive tube 2 provided with an integral ring 37 fixed to the end thereof and having the appropriate clamping flange 11. Thus, in accordance with this embodiment the second end portion is 37. However, the present embodiment is not limited thereto but may include the flange fixing methods described with reference to Figs. 1 and 3.

The clamping device 3 is used as a means for mounting a cylindrical rotating target represented by 2 to a spindle represented by 1. Clamping device 3 may include two clamping semi-circular halves 12, 13 which may be fastened together with any of the fixing means described with reference to Figs. 1 to 7. The outer circumference of clamp 3 is substantially cylindrical as has been described with respect to all the previous embodiments. Clamp 3 provides positive axial clamping of the abutting flanges 10, 11. For this purpose, the clamping halves 12, 13 are provided with at least one bevelled surface 25, 29 which co-operates with at least one chamfered surface 28, 30 on the ring 10 and/or the flange 11 to force the ring 10 and the flange 11 together and to clamp their machined abutting surfaces positively together.

A cross-sectional view of the extended clamp in accordance with this embodiment is shown below in Fig. 9. Fig. 8 shows an exploded view. Additional rings 31 and 32 are provided which may be in two pieces, while reference numbers 33 and 34 represent retaining rings (made from a suitable material such as spring steel) for securing split rings 31 and 32 close to the clamp halves 12 and 13. Rings 33, 34 may be single pieces. Retention may

WO 00/00766

PCT/EP99/04085

9

be done by inserting a number of fixing pins 35 (e.g. four) through the clamp halves 12 and 13. Rings 31 and 32 provide a functional contribution during the reactive sputtering process. Retaining rings 33 and 34, together with pins 35 are intended to enable attachment of rings 31 and 32 to the clamp halves 12 and 13 which have been described in detail above. Ring 31 may be made of insulating material and is intended to isolate ring 32 electrically from the clamp halves 12, 13. Ring 31 is not essential when ring 32 is insulating. During a sputtering process, clamp halves 12, 13 are brought to the same potential as the target 2. Ring 32 may be made of insulating material as well.

10 The axially directed annular lip 36 on the inner diameter of ring 32 extends over the target 2 and may have a rectangular shape in cross-section although the present invention is not limited thereto. For instance, a saw tooth-like shape, of which the edge touches the target 2 exactly at the edge of the plasma race-track induced above the target 2 in a sputtering magnetron could also be used. The present invention includes within its scope other forms of the lip 36 which extend over the target surface appropriately designed for different process conditions.

In an alternative embodiment, the ring 32 may be made from a conductive material and slightly spaced from the target surface. This ring 32 may be brought to a desired potential, grounded or be electrically floating. In this case, the presence of insulating ring 31 is advantageous to insulate the conductive ring 32 from the clamp halves 12, 13 which are at a potential. Additional, in this configuration, pins 35 should be designed to prevent electrical contact of the clamp halves 12, 13 with ring 32. For example, this can be achieved by using insulating pins or by putting an insulating sleeve over these pins. The lip 36 on 32, extending over the target 2, is preferably equally spaced over the target surface. The lip end, shown in Fig. 9 as having a rectangular cross-section, could have a round, saw tooth or alternative cross-section. This metallic shield may be beneficial in reducing arcing during sputtering processes. This metallic shield is not connected electrically and will assume a floating potential after plasma ignition.

Preferably, both rings 31 and 32 have a geometry at their outer

WO 00/00766

PCT/EP99/04085

10

circumference which provides a groove 39 between clamp halves 12, 13 and ring 31 when they are fixed together and a labyrinth groove 38 between rings 31 and 32. During a sputtering process, not only the substrate is covered with the required film, but all other bodies and walls in the vacuum chamber are coated as well. This means that eventually ring 31 and 32 will be covered with a sputtered film. If the sputtered coating is conductive, an electrical short may be formed from the clamp halves 12, 13 over the insulating ring 31 to ring 32. If ring 32 is conductive and this ring is to be maintained at a potential different from the clamp potential, it is important that no conductive path between both is formed. By providing a complex groove 38 between rings 31 and 32 and a groove 39 between clamp halves 12, 13 and ring 3, the chance of having a conductive path is reduced considerably.

The skilled person will appreciate that the present invention also includes within its scope the independent invention of a coupling for a cylindrical sputtering target comprising an anti-arcing element attached to the side of the coupling facing the sputtering target. The coupling may be used to couple a cylindrical target to a spindle. The spindle may be driven to rotate the coupling and the target. The envelope of the outer surface of the coupling may be substantially circular so that the coupling may be placed within a close fitting tubular shield. Two end portions of two tubular sections may be coupled with this coupling, the size of the inner space of a first end portion being smaller than that of a second end portion, the second end portion having a flange extremity axially slidable over the first end portion to abut the flange extremity against a peripheral outer abutment ring on said first end portion, the coupling comprising at least one sealing ring between said end portions in their overlapping contact area and further comprising a clamping ring with a substantially cylindrical outer surface and being composed of two substantially equal halves, each clamp half having a semi-circular or U-shaped cross section with an inwardly oriented recess, said recess enclosing said flange extremity and said abutment ring.

WO 00/00766

PCT/EP99/04085

11

CLAIMS

1. A vacuum tight coupling for end portions (1,2) of two tubular sections, the size of the inner space of a first end portion (1) being smaller than that of a second end portion (2), the second end portion having a flange extremity (11) axially slidable over the first end portion to abut the flange extremity 11 against a peripheral outer abutment ring (10) on said first end portion, the coupling comprising at least one sealing ring (4,5) between said end portions in their overlapping contact area and further comprising a clamping ring (3) with a substantially cylindrical outer surface and being composed of two substantially equal halves (12,13), each clamp half having a semi-circular or U-shaped cross section with an inwardly oriented recess (6), said recess enclosing said flange extremity (11) and said abutment ring (10) and being adapted to positively and axially clamp the abutment ring (10) against the flange extremity (11), the two ring halves being fixed to each other at their extremities (15,16) by means of fixing means comprising in at least one place bolting means (9), the axis (14) of which is perpendicular to the longitudinal axis of the coupled tubular sections and substantially tangential to the clamping ring periphery.
2. A coupling according to claim 1 wherein said flange extremity (11) is a separate ring.
3. A coupling according to claim 1 or 2, wherein the ring halves, besides said bolting means (9) for fixing their extremities (15,16) in one place comprise pivoting means (17) for fixing them in their opposite extremities (21,22).
4. A coupling according to any previous claim, wherein a tubular insert (20) is coupled between said first and second end portion, and wherein the insert end (23) facing the first end portion (1) is a ring which can slide axially over said first end portion whereas the opposite insert end (24) is a ring over which said second end portion (2) can slide.

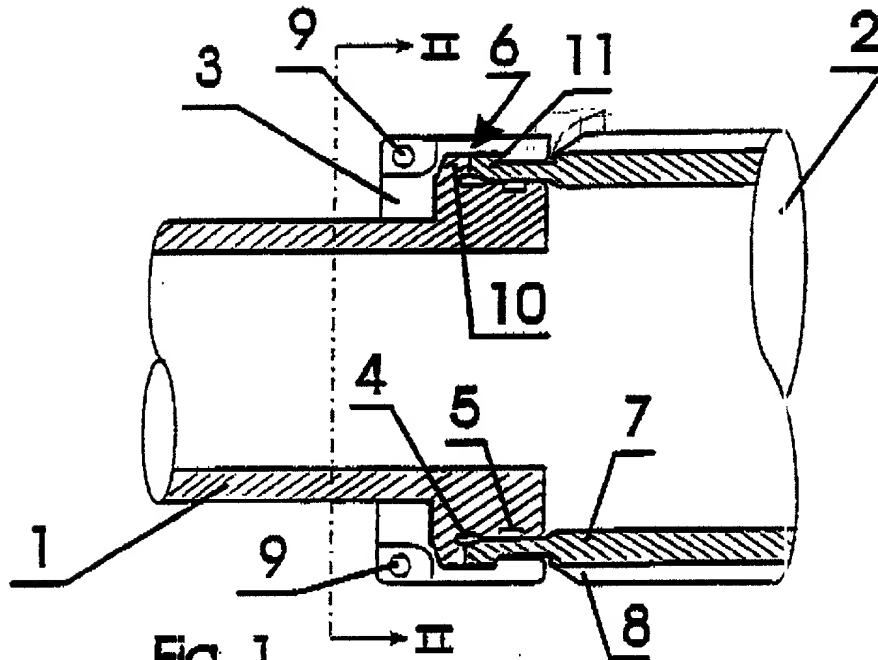
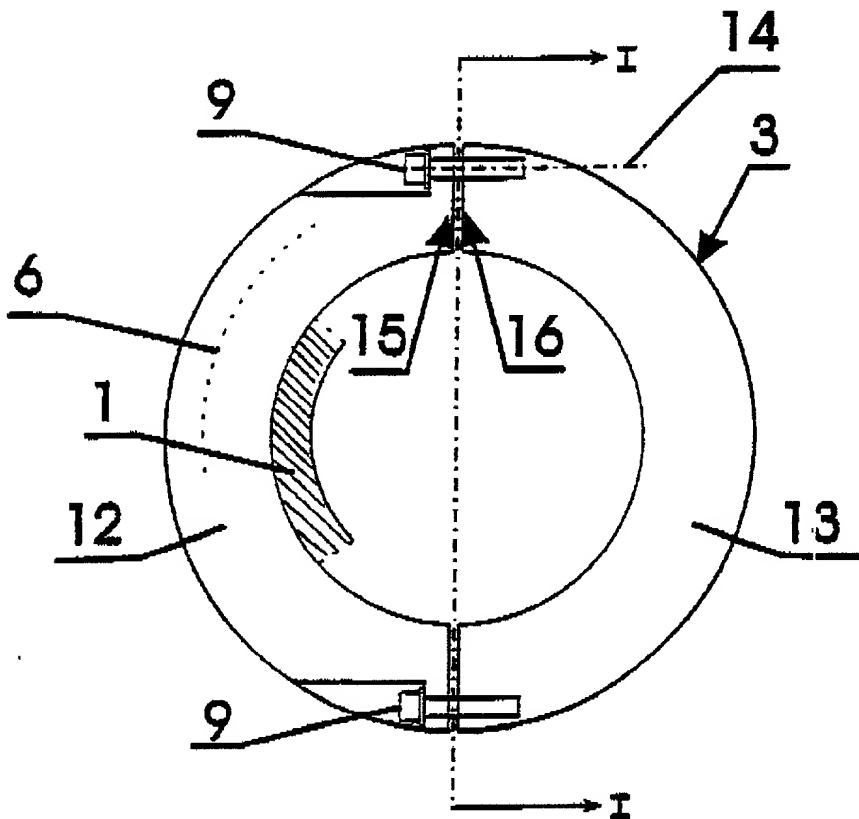
WO 00/00766

PCT/EP99/04085

12

- 5 5. A coupling according to any previous claim, wherein the length of the overlap portion between the first and second tube portions (1, 2) is 50% or less, preferably 30% or less, more preferably 20% or less of the inner diameter of the first portion.
- 10 6. A coupling according to any previous claim, wherein the length of the overlap portion between the first and second end portions (1, 2) is 5% or more of the inner diameter of the first portion.
- 15 7. A coupling according to any previous claim, wherein the coupling is an high vacuum or ultra-high vacuum coupling.
- 15 8. A coupling for a cylindrical sputtering target comprising the coupling according to any of claims 1 to 7.
- 20 9. The coupling for a cylindrical sputtering target according to claim 8, wherein the fixing means of the clamping ring (3) is located on the side of the coupling remote from the sputtering target.
- 25 10. The coupling for a cylindrical sputtering target according to claim 8 or 9, further comprising an anti-arcing element (32, 36) attached to the clamping ring (3) on the same side as the sputtering target for preventing arcing.
11. The coupling for a cylindrical sputtering target according to any of claims 8 to 10, wherein the anti-arcing element is conductive or insulating.
- 30 12. The coupling for a cylindrical sputtering target according to any of claims 8 to 11, wherein a at least one groove (38, 39) is provided between the anti-arcing element and the clamping ring (3).

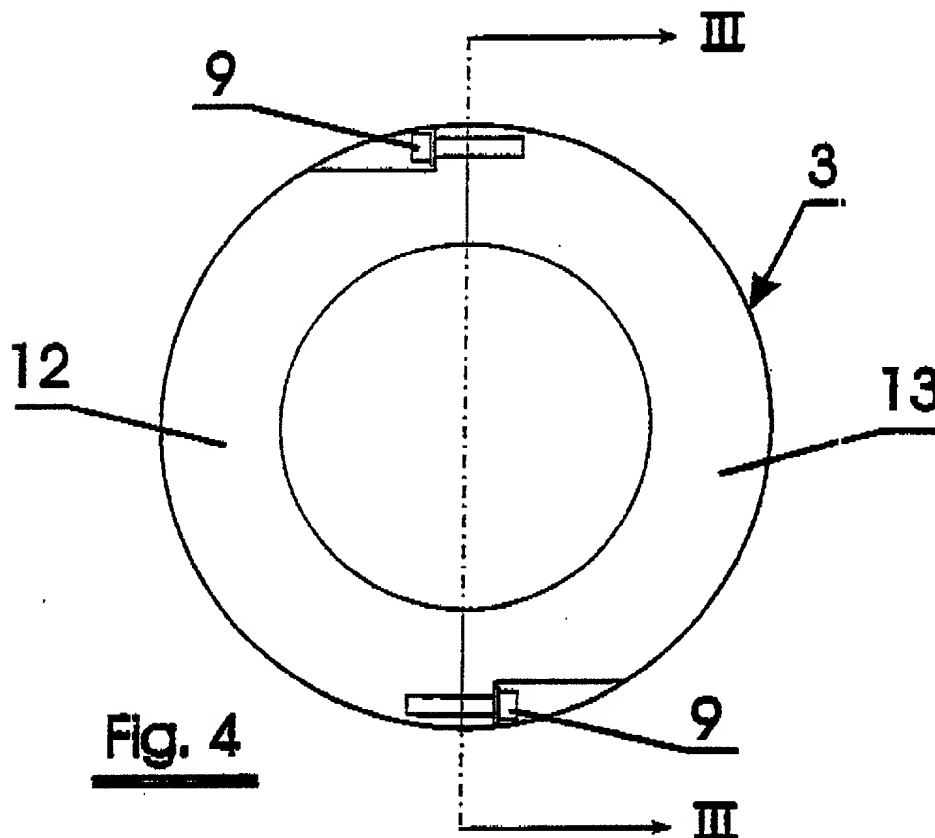
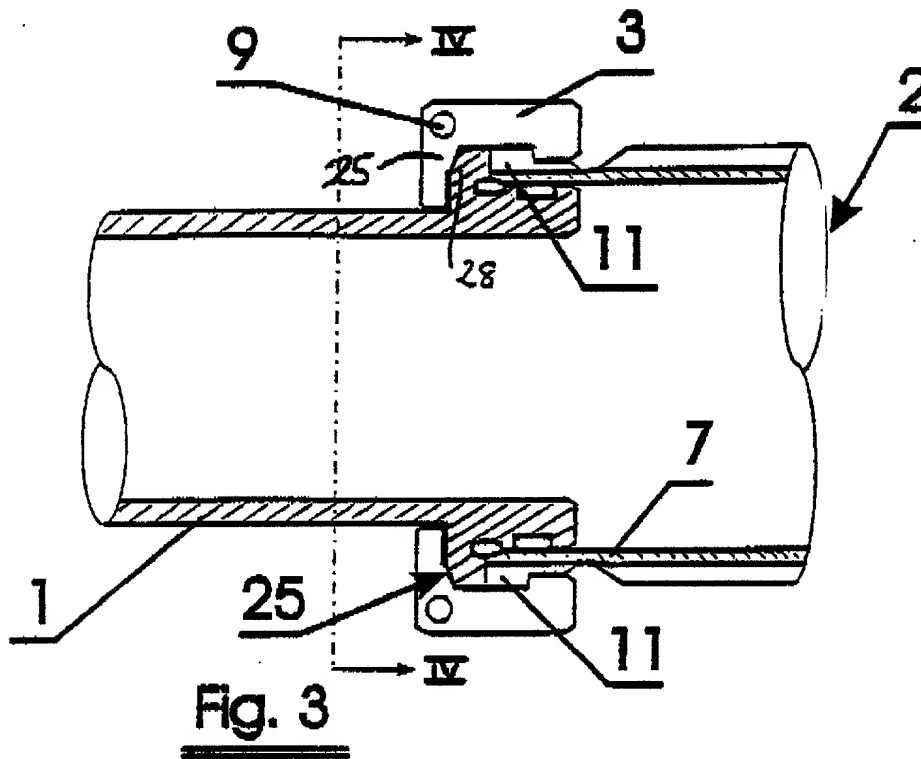
1/6

Fig. 1Fig. 2

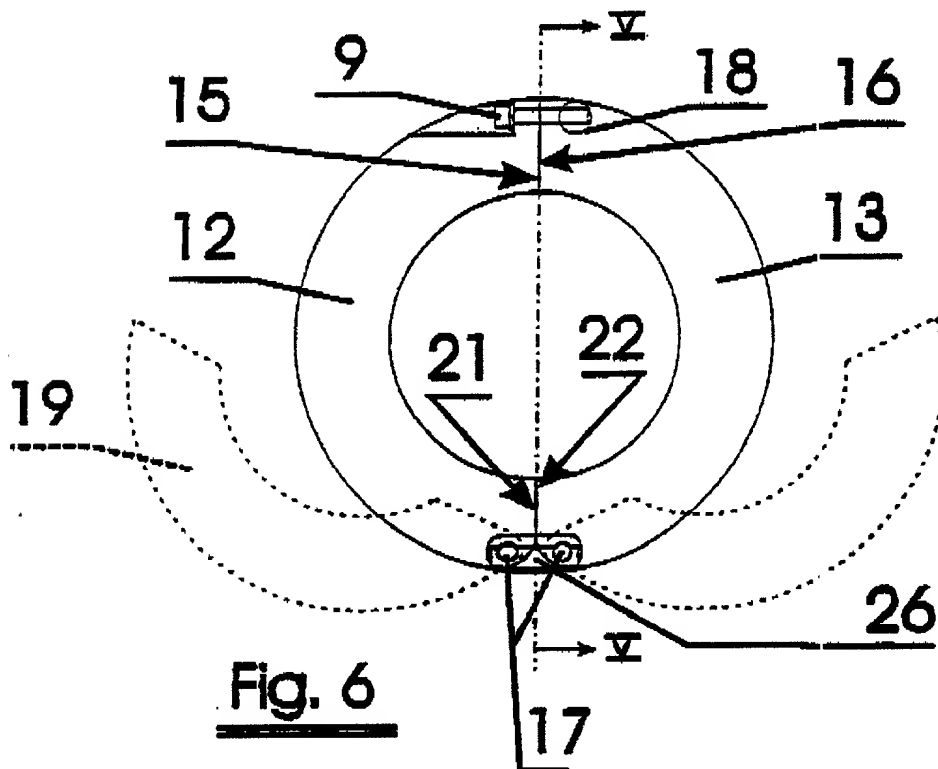
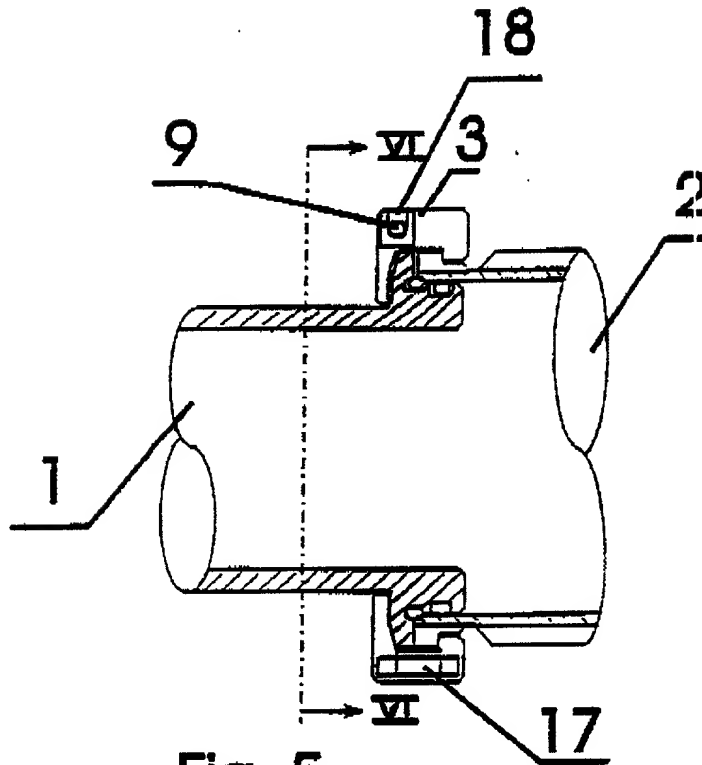
WO 00/00766

PCT/EP99/04085

2/6



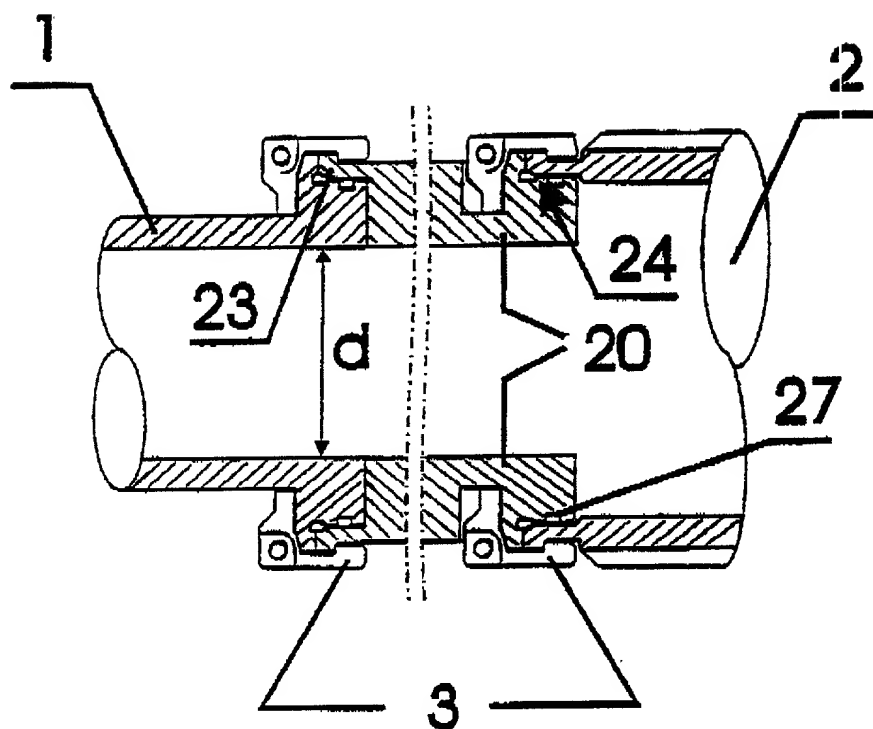
3/6



WO 00/00766

PCT/EP99/04085

4/6

Fig. 7

5/6

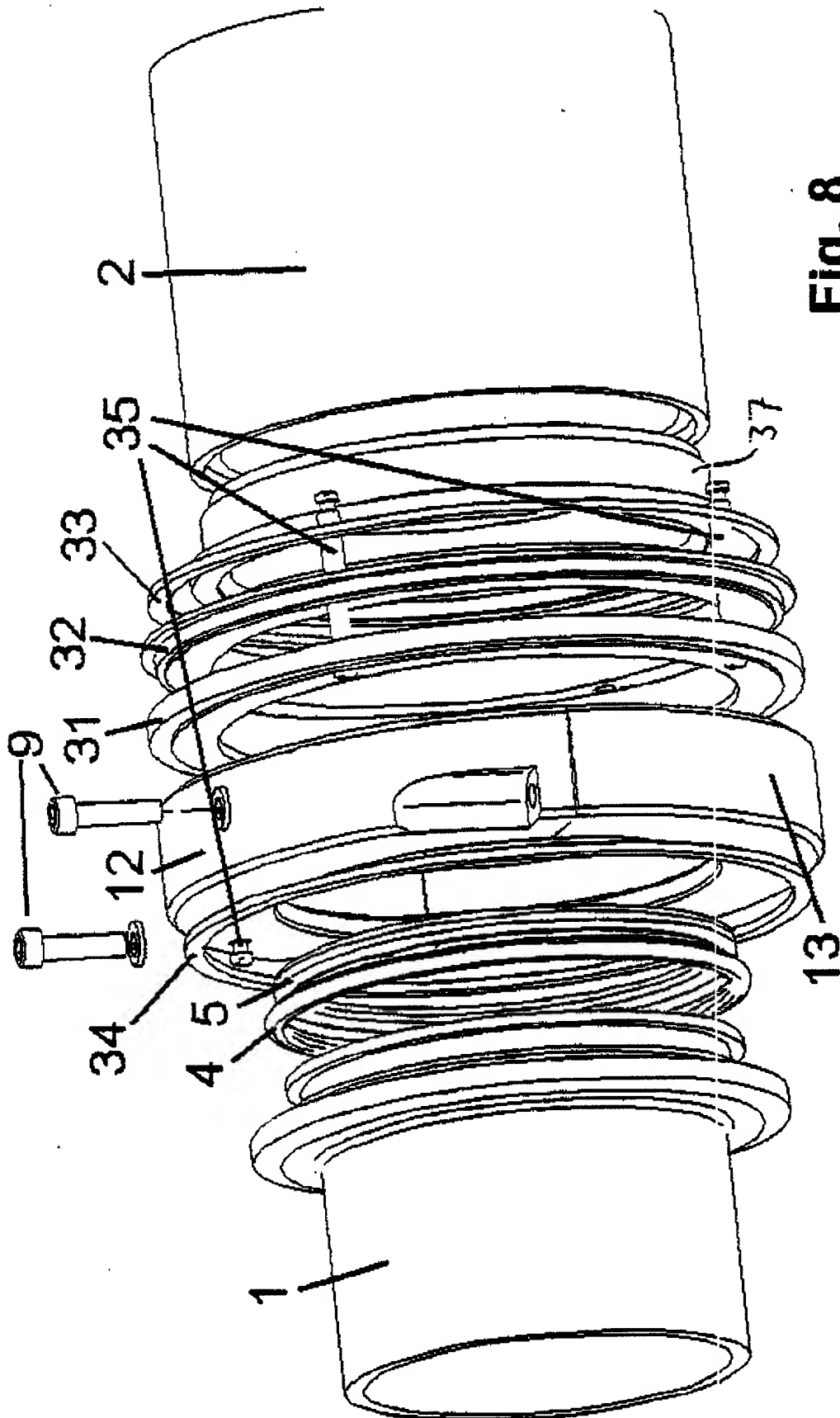


Fig. 8

09/720744

WO 00/00766

PCT/EP99/04085

6/6

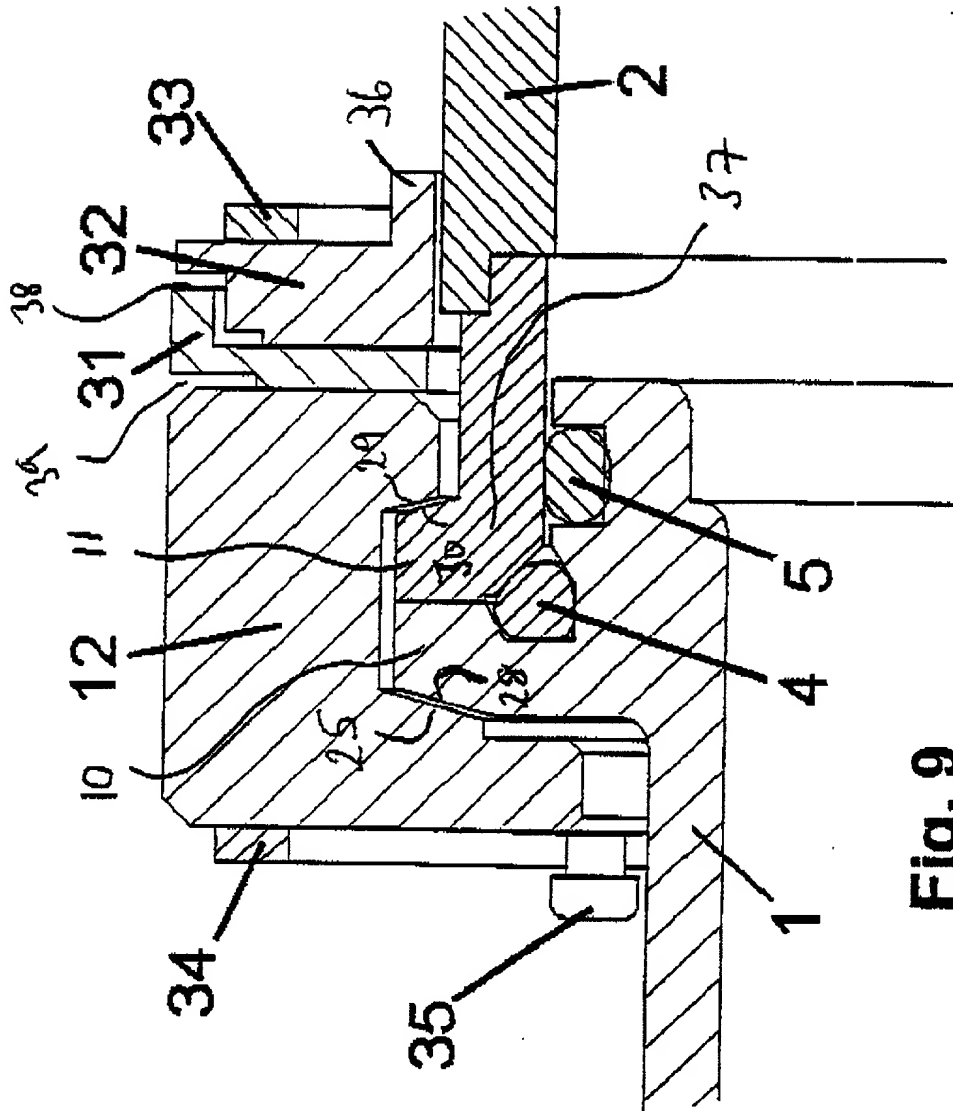


Fig. 9



Docket No. 522-1730

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled VACUUM TIGHT COUPLING FOR TUBE SECTIONS, the specification of which:

_____ is attached hereto.

 x was filed on December 27, 2000 as Application Serial No. 09/720,744 and was amended on _____ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified



Robert F.I. Conte, Registration No. 20,354, Timothy J. Engling, Registration No. 39,970, Peter J. Shakula, Registration No. 40,808 and Gregory B. Beggs, Registration No. 19,286, as my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith. It is requested that all communications be directed to Glenn W. Ohlson of Lee, Mann, Smith, McWilliams, Sweeney & Ohlson, P.O. Box 2786, Chicago, Illinois 60690-2786, telephone number (312) 368-1300.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: Wilmert De Bosscher

Signature Bosscher Date Feb. 22, '01

Country of Residence: Belgium

Country of Citizenship: Belgium

Post Office and Residence Address: Noordhoutstraat 22

B-9031 Drongen Belgium BEX

Full name of second inventor: Dirk Cnockaert

Signature Cnockaert Date Feb 22, '01

Country of Residence: Belgium

Country of Citizenship: Belgium

Post Office and Residence Address: Izegemstraat 80

B-9800 Deinze Belgium BEX

300 Full name of third inventor: Erwin Lootens

Signature [Signature] Date Feb. 22, '01

Country of Residence: Belgium

Country of Citizenship: Belgium

Post Office and Residence Address: Mariakerksesteenweg 269

B-9031 Drongen Belgium BEX

50 Full name of fourth inventor: Pascal Verheyen

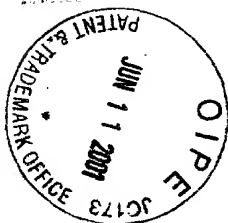
Signature [Signature] Date Feb 22, '01

Country of Residence: Belgium

Country of Citizenship: Belgium

Post Office and Residence Address: Provinciebaan 102

B-9890 Gavere Belgium BEX



below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

PRIOR FOREIGN APPLICATION(S)

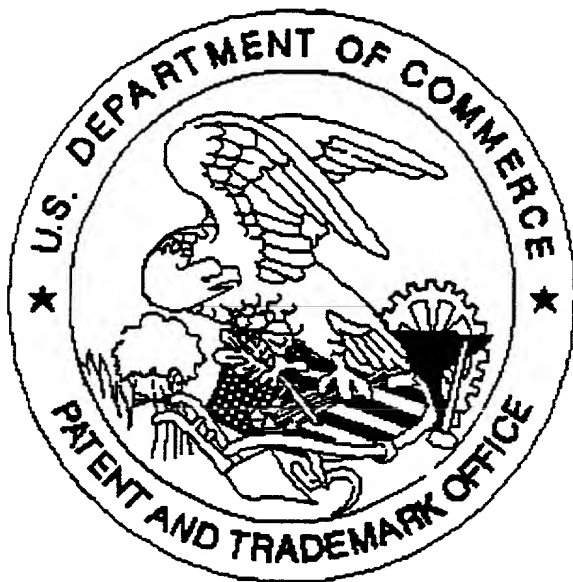
Country	Number	Date Filed	Priority Claimed	
			Yes	No
Europe	98202183.4	June 29, 1998	X	

I hereby claim the benefit under Title 35, United States Code Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

Application Serial No.	Filing Date	Status
PCT/EP99/04085	June 28, 1999	Pending

12- And I hereby appoint Glenn W. Ohlson, Registration No. 28,455, Thomas E. Smith, Registration No. 18,243, Dennis M. McWilliams, Registration No. 25,195, James R. Sweeney, Registration No. 18,721, William M. Lee, Jr., Registration No. 26,935, David C. Brezina, Registration No. 34,128, Jeffrey R. Gray, Registration No. 33,391, Gerald S. Geren, Registration No. 24,528,

United States Patent & Trademark Office
Office of Initial Patent Examination -- Scanning Division



Application deficiencies found during scanning:

☒ Page(s) 2 of 4 of Declaration ^{was} were not present
for scanning. (Document title)

☐ Page(s) _____ of _____ were not present
for scanning. (Document title)

☐ *Scanned copy is best available.*